

# Using Lexical Chains for Text Summarization

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## Abstract

We investigate one technique to produce a summary of an original text without requiring its full semantic interpretation, but instead relying on a model of the topic progression in the text derived from lexical chains. We present a new algorithm to compute lexical chains in a text, merging several robust knowledge sources: the WordNet thesaurus, a part-of-speech tagger, shallow parser for the identification of nominal groups, and a segmentation algorithm. Summarization proceeds in four steps: the original text is segmented, lexical chains are constructed, *strong* chains are identified and *significant* sentences are extracted.

We present in this paper empirical results on the identification of strong chains and of significant sentences. Preliminary results indicate that quality indicative summaries are produced. Pending problems are identified. Plans to address these short-comings are briefly presented.

## 1 Introduction

Summarization is the process of condensing a source text into a shorter version preserving its information content. It can serve several goals — from survey analysis of a scientific field to quick indicative notes on the general topic of a text. Producing a quality informative summary of an arbitrary text remains a challenge which requires full understanding of the text. Indicative summaries, which can be used to quickly decide whether a text is worth reading, are naturally easier to produce. In this paper we investigate a method for the production of such indicative summaries from arbitrary text.

Sparck Jones (Jones 1993) describes summarization as a two-step process:

1. Building from the source text a source representation;
2. Summary generation — forming a summary representation from the source representation built in the first step and synthesizing the output summary text.

Within this framework, the relevant question is what information has to be included in the source representation in order to create a summary. There are three types of source text information: linguistic, domain and

communicative. Each of these text aspects can be chosen as a basis for source representation.

Summaries can be built on a deep semantic analysis of the source text. For example, in (McKeown & Radev 1995), McKeown and Radev investigate ways to produce a coherent summary of several texts describing the same event, when a full semantic representation of the source texts is available (in their case, they use MUC-style systems to interpret the source texts). This type of source abstraction is the most expressive, but very domain dependent and hard to compute.

On the other hand, summaries can be built from a shallow linguistic analysis of the text:

1. For example, early summarization systems (Luhn 1958) directly exploit word distribution in the source, based on the intuition that the most frequent words represent the most important concepts of the text. This representation abstracts the source text into a frequency table.
2. Another method also based on linguistic information is the *cue phrase method*, which uses meta-linguistic markers (for example, “*in conclusion*”, “*the paper describes*”) to select important phrases (Edmunson 1969). The cue phrase method is based on the assumption that such phrases provide a “rhetorical” context for identifying important sentences. The source abstraction in this case is a set of cue phrases and the sentences that contain them.
3. The *location method* relies on the following intuition — headings, sentences in the beginning and end of the text, text formatted in bold, contain important information to the summary (Hovy & Lin 1997; Edmunson 1969).

All the techniques presented above are easily computed and rely on shallow formal clues found in the text. As reported in (Paice 1990), location and cue phrases produce better results than the word frequency method, and can be accurately computed. Recently, (Kupiec, Pedersen, & Chen 1995), (Teufel & Moens 1997) use learning in order to combine several shallow heuristics (cue phrase, location, sentence length, word frequency and title), using a corpus of research papers with manually produced abstracts.

The most severe limitation of location and cue phrases abstraction is their dependence on the text genre: the number of rhetorical markers changes critically from “Scientific American” articles to political articles. Ono et al. (Ono, Sumita, & Miike 1994) reports large differences in accuracy when building a discourse representation from technical tutorial texts and from newspaper texts. Techniques relying on formal clues can be seen as high risk gamble: either you win it all, or you lose all.

Methods that rely more on content do not suffer from this brittleness. The method we present belongs to a family of techniques that rely on word distribution and lexical links among them to approximate content in a more robust form.

For example, word frequency is a good indicator for words that represent important concepts — this is true with most texts, independently of their style. A frequency table, however, is too simplistic as a source representation. It abstracts the source text into the union of its words without considering any connection among them. Adding information about word relations could significantly increase source abstraction quality. As a trivial illustration of the limitations of frequency information, consider the following two sequences:

1. “*Dr.Kenny has invented an anesthetic machine. This device controls the rate at which an anesthetic is pumped into the blood.*”
2. “*Dr.Kenny has invented an anesthetic machine. The doctor spent two years on this research.*”

“*Dr.Kenny*” appears once in both sequences and so does “*machine*”. But sequence 1 is about the *machine*, and sequence 2 is about the “*doctor*”. This example indicates that if the source representation does not supply information about semantically related terms, one cannot capture the “aboutness” of the text, and therefore the summary will not capture the main point of the original text.

The notion of cohesion, introduced in Halliday and Hasan (Halliday & Hasan 1976) captures part of the intuition. Cohesion is a device for “sticking together” different parts of the text. Cohesion is achieved through the use of semantically related terms, co-reference, ellipsis and conjunctions.

Boguraev and Kennedy (Boguraev & Kennedy 1997) present a noun-phrase extraction method that relies on the identification of co-reference chains as an approximation of the cohesive links in the source text.

Among the different cohesion-building devices, the most easily identifiable and the most frequent type is, however, lexical cohesion (as discussed in (Hoey 1991)). Lexical cohesion is created by using semantically related words. Halliday and Hasan classified lexical cohesion into reiteration category and collocation category. Reiteration can be achieved by repetition, synonyms and hyponyms. Collocation relations specify the relation between words that tend to co-occur in the same

lexical contexts (e.g., “*She works as a **teacher** in the school*”).

Collocation relations are more problematic for identification than reiteration, but both of these categories are identifiable on the surface of the text. Lexical cohesion occurs not only between two terms, but among sequences of related words — called *lexical chains* (Morris & Hirst 1991). Lexical chains provide a representation of the lexical cohesive structure of the text. Lexical chains have also been used for information retrieval (Stairmand 1996) and for correction of malapropisms (Hirst & St-Onge 1998 to appear). In this paper, we investigate how lexical chains can be used as a source representation for summarization.

Obviously, a more comprehensive identification of all possible cohesion-building elements would only strengthen the source text abstraction. We focus in this work on only one form of cohesion (lexical cohesion), other forms (co-reference and collocations) would enrich the potential for more precise text extraction methods.

Another important dimension of the linguistic structure of a source text is captured under the related notion of *coherence*. Coherence defines the macro-level semantic structure of a connected discourse, while cohesion creates connectedness in a non-structural manner. Coherence is represented in terms of coherence relations between text segments, such as *elaboration*, *cause* and *explanation*. Some researchers, e.g., (Ono, Sumita, & Miike 1994) and (Marcu 1997), use discourse structure (encoded using RST (Mann & Thompson 1988)) as a source representation for summarization. Discourse representation can be used to prune a hierarchical tree of discourse segments and keep only the nucleus of the discourse. In contrast to lexical cohesion, however, coherence is difficult to identify without complete understanding of the text and complex inferences. Consider the following example from (Jerry 1978): “*John can open the safe. He knows the combination.*”

Morris and Hirst (Morris & Hirst 1991) show that the relation between these two sentences can be interpreted as *elaboration* or as *explanation*, depending on context, knowledge and beliefs. As a consequence, methods that attempt to approximate discourse structure based on discourse markers only, can produce an imprecise discourse structure abstraction, and also suffer from the brittleness of the formal methods indicated above.

There is a close connection between discourse structure and cohesion. Related words tend to co-occur within a discourse unit of the text. So cohesion is one of the surface indicators of discourse structure and lexical chains can be used to identify it. Other indicators can be used to identify discourse structure as well (connectives, paragraph markers, tense shifts). Here again, merging discourse structure approaches with lexical cohesion techniques should lead to further improvement of the source text abstraction.

In this paper, we investigate the use of lexical chains as a model of the source text for the purpose of producing a summary. As already explained, other aspects

of the source text need to be integrated in the text representation to produce quality summaries (discourse structure, other forms of cohesion, domain knowledge); but we want to investigate empirically how far one can go by exploiting mainly lexical chains. In the rest of the paper we first present our algorithm for lexical chain construction (Section 2). We then present empirical results on the identification of strong chains among the possible candidates produced by our algorithm (Section 3), and describe how lexical chains are used to identify significant sentences within the source text and eventually produce a summary. Finally, we present preliminary evaluation of the results obtained by our method (Section 4).

## 2 Algorithm for Chain Computing

One of the chief advantages of lexical cohesion is that it is an easily recognizable relation, enabling lexical chain computation. The first computational model for lexical chains was presented in the work of Morris and Hirst (Morris & Hirst 1991). They define lexical cohesion relations in terms of categories, index entries and pointers in Roget's Thesaurus. Morris and Hirst evaluated that their relatedness criterion covered over 90% of the intuitive lexical relations. Chains are created by taking a new text word and finding a related chain for it according to relatedness criteria. Morris and Hirst introduce the notions of "activated chain" and "chain returns", to take into account the distance between occurrences of related words. They also analyze factors contributing to the strength of a chain — repetition, density and length. Morris and Hirst did not implement their algorithm, because there was no machine-readable version of Roget's Thesaurus at that time.

One of the drawbacks of their approach was that they did not require the same word to appear with the same sense in its different occurrences for it to belong to a chain. For semantically ambiguous words, this can lead to confusions (e.g., mixing two senses of *table* as a piece of furniture or an array). Note that choosing the appropriate chain for a word is equivalent to disambiguating this word in context, which is a well-known difficult problem in text understanding.

More recently, two algorithms for the calculation of lexical chains have been presented in (Hirst & St-Onge 1998 to appear) and (Stairmand 1996). Both of these algorithms use the WordNet lexical database for determining relatedness of the words (Miller *et al.* 1990). Senses in the WordNet database are represented relationally by synonym sets ('synsets') — which are the sets of all the words sharing a common sense. For example two senses of "computer" are represented as: {calculator, reckoner, figurer, estimator, computer} (*i.e.*, a person who computes) and {computer, data processor, electronic computer, information processing system}. WordNet contains more than 118,000 different word forms. Words of the same category are linked through semantic relations like synonymy and

Polysemous words appear in more than one synsets (for example, *computer* occurs in two synsets). Approximately 17% of the words in WordNet are polysemous. But, as noted by Stairmand, this figure is very misleading: "a significant proportion of WordNet nouns are Latin labels for biological entities, which by their nature are monosemous and our experience with the news-report texts we have processed is that approximately half of the nouns encountered are polysemous." (Stairmand 1996).

Generally, a procedure for constructing lexical chains follows three steps:

1. Select a set of candidate words;
2. For each candidate word, find an appropriate chain relying on a relatedness criterion among members of the chains;
3. If it is found, insert the word in the chain and update it accordingly.

An example of such a procedure is represented by Hirst and St-Onge (henceforth, H&S). In the preprocessing step, all words that appear as a noun entry in WordNet are chosen. Relatedness of words is determined in terms of the distance between their occurrences and the shape of the path connecting them in the WordNet thesaurus. Three kinds of relations are defined: extra-strong (between a word and its repetition), strong (between two words connected by a WordNet relation) and medium-strong when the link between the synsets of the words is longer than one (only paths satisfying certain restrictions are accepted as valid connections).

The maximum distance between related words depends on the kind of relation: for extra-strong relations, there is not limit in distance, for strong relations, it is limited to a window of seven sentences; and for medium-strong relations, it is within three sentences back.

To find a chain in which to insert a given candidate word, extra-strong relations are preferred to strong-relations and both of them are preferred to medium-strong relations. If a chain is found, then the candidate word is inserted with the appropriate sense, and the senses of the other words in the receiving chain are updated, so that every word connected to the new word in the chain relates to its selected senses only. If no chain is found, then a new chain is created and the candidate word is inserted with all its possible senses in WordNet.

The greedy disambiguation strategy implemented in this algorithm has some limitations illustrated by the following example:

*Mr. Kenny is the **person** that invented an anesthetic **machine** which uses **micro-computers** to control the rate at which an anesthetic is pumped into the blood. Such **machines** are nothing new. But his **device** uses two **micro-computers** to achieve much closer monitoring of the **pump** feeding the anesthetic into the patient.*

According to H&S’s algorithm, the chain for the word “*Mr.*” is first created [lex “*Mr.*”, sense {*mister*, *Mr.*}]. “*Mr.*” belongs only to one synset, so it is disambiguated from the beginning. The word “*person*” is related to this chain in the sense “*a human being*” by a medium-strong relation, so the chain now contains two entries:

[lex “*Mr.*”, sense {*mister*, *Mr.*}]  
 [lex “*person*”, sense {*person*, *individual*, *someone*, *man*, *mortal*, *human*, *soul*}].

When the algorithm processes the word “*machine*”, it relates it to this chain, because “*machine*” in the first WordNet sense (“*an efficient person*”) is a holonym of “*person*” in the chosen sense. In other words, “*machine*” and “*person*” are related by a strong relation. In this case, “*machine*” is disambiguated in the wrong way, even though after this first occurrence of “*machine*”, there is strong evidence supporting the selection of its more common sense: “*micro-computer*”, “*device*” and “*pump*” all point to its correct sense in this context — “*any mechanical or electrical device that performs or assists in the performance*”.

This example indicates that disambiguation cannot be a greedy decision. In order to choose the right sense of the word the ‘whole picture’ of chain distribution in the text must be considered. We propose to develop a chaining model according to all possible alternatives of word senses and then choose the best one among them.

Let us illustrate this method on the above example. First, a node for the word “*Mr.*” is created [lex “*Mr.*”, sense {*mister*, *Mr.*}]. The next candidate word is “*person*”. It has two senses: “*human being*” (*person* – 1) and “*grammatical category of pronouns and verb forms*” (*person* – 2). The choice of sense for “*person*” splits the chain world into two different interpretations as shown in Figure 1.

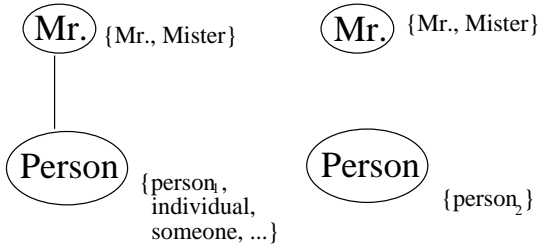


Figure 1: Step 1, Interpretations 1 and 2

We define a *component* as a list of interpretations that are exclusive of each other. Component words influence each other in the selection of their respective senses.

The next candidate word “*anesthetic*” is not related to any word in the first component, so we create a new component for it with a single interpretation.

The word “*machine*” has 5 senses *machine*<sub>1</sub> to *machine*<sub>5</sub>. In its first sense, “*an efficient person*”, it is related to the senses “*person*” and “*Mr.*”. It therefore influences the selection of their senses, thus “*machine*”

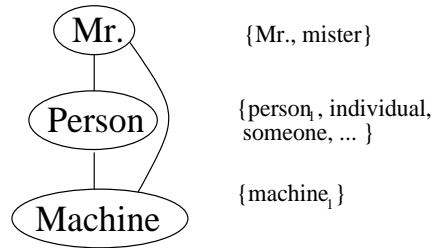


Figure 2: Step 2, Interpretation 1

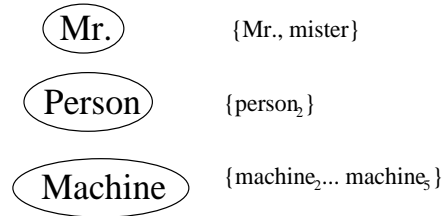


Figure 3: Step 2, Interpretation 2

has to be inserted in the first component. After its insertion the picture of the first component becomes the one shown in Figures 2 to 5.

But if we continue the process and insert the words “*micro-computer*”, “*device*” and “*pump*”, the number of alternatives greatly increases. The strongest interpretations are given in Figures 6 and 7.

Under the assumption that the text is *cohesive*, we define the best interpretation as the one with the most connections (edges in the graph). In this case, the second interpretation at the end of Step 3 is selected, which predicts the right sense for “*machine*”. We define the score of an interpretation as the sum of its chain scores. A chain score is determined by the number and weight of the relations between chain members. Experimentally, we fixed the weight of reiteration and synonym to 10, of antonym to 7, and of hyperonym and holonym to 4. Our algorithm computes all possible interpretations, maintaining each one without self contradiction. When the number of possible interpretations is larger

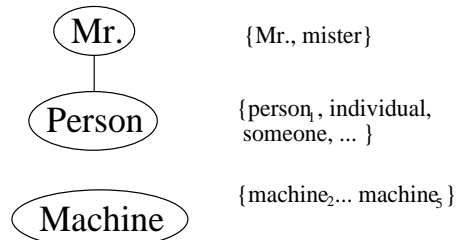


Figure 4: Step 2, Interpretation 3

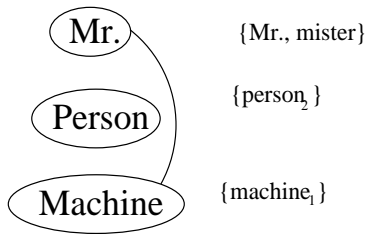


Figure 5: Step 2, Interpretation 4

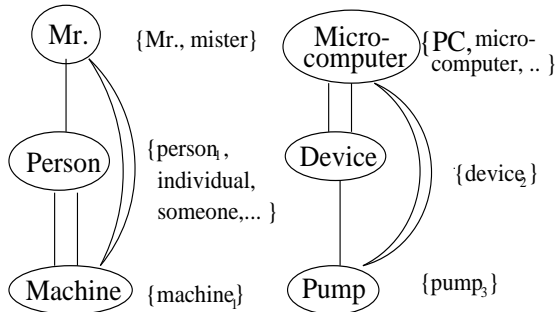


Figure 6: Step 3, Interpretation 1

than a certain threshold, we prune the weak interpretations according to this criteria, this is to prevent exponential growth of memory usage. In the end, we select from each component the strongest interpretation.

In summary, our algorithm differs from H&S's algorithm in that it introduces, in addition to the relatedness criterion for membership to a chain, a non-greedy disambiguation heuristic to select the appropriate senses of chain members.

The two algorithms differ in two other major aspects: the criterion for the selection of candidate words and the operational definition of a text unit.

We choose as candidate words simple nouns and noun compounds. As mentioned above, nouns are the main contributors to the "aboutness" of a text, and noun synsets dominate in WordNet. Both (Stairmand 1996) and H&S rely only on nouns as candidate words. In our algorithm, we rely on the results of Brill's part-of-speech tagging algorithm to identify nouns (Brill 1992), while H&S do not go through this step and only select tokens that happen to occur as nouns in WordNet. Using WordNet to identify nouns can lead to erroneous situations where a word that is used as a verb will be identified as a noun, e.g., "walk".

In addition, we extend the set of candidate words to include *noun compounds*. We first empirically evaluated the importance of noun compounds by taking into account the noun compounds explicitly present in WordNet (some 50,000 entries in WordNet are noun compounds such as "sea level" or collocations such as "dig-

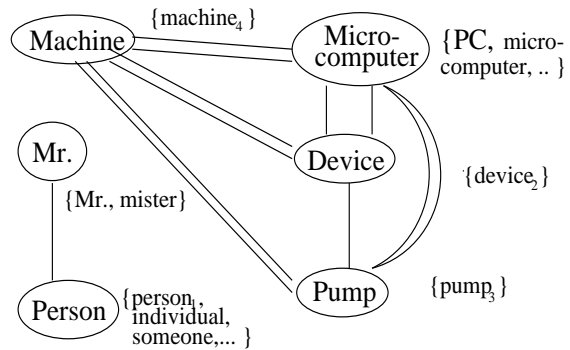


Figure 7: Step 3, Interpretation 2

ital computer"). However, English includes a productive system of noun compounds, and in each domain, new noun-compounds and collocations not present in WordNet play a major role.

We addressed the issue, by using a shallow parser (developed by Ido Dagan's team at Bar-Ilan University) to identify noun-compounds using a simple characterization of noun sequences. This has two major benefits: (1) it identifies important concepts in the domain (for example, in a text on "*quantum computing*", the main token was the noun compound "*quantum computing*" which was not present in WordNet); (2) it eliminates words that occur as modifiers as possible candidates for chain membership. For example, when "*quantum computing*" is selected as a single unit, the word "*quantum*" is not selected. This is beneficial because in this example, the text was not about "*quantum*", but more about computers. When a noun compound is selected, the relatedness criterion in WordNet is used by considering its head noun only. Thus, "*quantum computer*" is related to "*machine*" as a "*computer*".

The second difference in our algorithm lies in the operational definition we give to the notion of text unit. We use as text units the segments obtained from Hearst's algorithm of text segmentation (Hearst 1994). We build chains in every segment according to relatedness criteria, and in a second stage, we merge chains from the different segments using much stronger criteria for connectedness only: two chains are merged across a segment boundary only if they contain a common word with the same sense. Our intra-segment relatedness criterion is less strict: members of the same synsets are related, a node and its offspring in the hyperonym graph are related, siblings in the hyperonym graph are related only if the length of the path is less than a threshold.

The relation between text segmentation and lexical chains is delicate, since they are both derived from partially common sources of knowledge: lexical distribution and repetitions. In fact, lexical chains could serve as a basis for an algorithm for segmentation. We have found empirically, however, that Hearst's algorithm behaves well on the type of texts we checked and that

it provides a solid basis for the construction of lexical chains.

### 3 Building Summaries Using Lexical Chains

We now investigate how lexical chains can serve as a source representation of the original text to build a summary. The next question is how to build a summary representation from this source representation.

The most prevalent discourse topic will play an important role in the summary. We first present the intuition why lexical chains are a good indicator of the central topic of a text. Given an appropriate measure of strength, we show that picking the concepts represented by strong lexical chains gives a better indication of the central topic of a text than simply picking the most frequent words in the text (which forms the zero-hypothesis).

For example, we show in Appendix A a sample text about Bayesian Network technology. There, the concept of network is denoted by the words “*network*” with 6 occurrences, “*net*” with 2, and “*system*” with 4. But the summary representation has to reflect that all these words represent the **same** concept. Otherwise, the summary generation stage would extract information separately for each term. The chain representation approach avoids completely this problem, because all these terms occur in the same chain, which reflects that they represent the same concept.

An additional argument for the chain representation as opposed to a simple word frequency model is the case when a single concept is represented by a number of words, each with relatively low frequency. In the same Bayesian Network sample text, the concept of “*information*” is denoted by the words “*information*” (3), “*datum*” (2), “*knowledge*” (3), “*concept*” (1) and “*model*” 1. In this text, “*information*” is a more important concept than “*computer*” which occurs 4 times. Because the “*information*” chain combines the number of occurrences of all its members, it can overcome the weight of the single word “*computer*”.

#### Scoring Chains

In order to use lexical chains as outlined above, one must first identify the strongest chains among all those that are produced by our algorithm. As is frequent in summarization, there is no formal way to evaluate chain strength (as there is no formal method to evaluate the quality of a summary). We therefore rely on an empirical methodology. We have developed an environment to compute and graphically visualize lexical chains to evaluate experimentally how they capture the main topics of the texts. Figure 8 shows how lexical chains are visualized to help human testers evaluate their importance.

We have collected data for a set of 30 random texts extracted from popular magazines (from “The Economist” and “Scientific American”), all of them

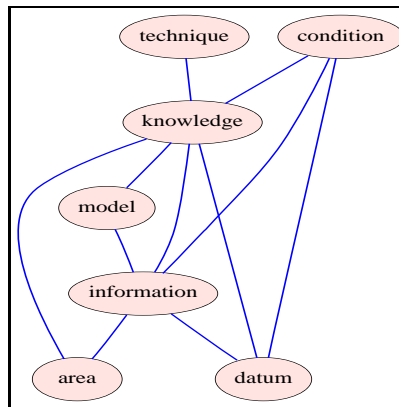


Figure 8: Visual representation of lexical chains

are popular science genre. For each text, we manually ranked chains in terms of relevance to the main topics. We then computed different formal measures on the chains, including: chain length, distribution in the text, text span covered by the chain, density, graph topology (diameter of the graph of the words) and number of repetitions. The results on our data set indicate that only the following parameters are good predictors of the strength of a chain:

**Length:** The number of occurrences of members of the chain.

**Homogeneity index:** 1 - the number of distinct occurrences divided by the length.

We designed a score function for chains as:

$$Score(Chain) = Length * HomogeneityIndex$$

When ranking chains according to their score, we evaluated that strong chains are those which satisfy our “Strength Criterion”:

$$Score(Chain) > Average(Scores) + 2 * StandardDeviation(Scores)$$

These are preliminary results but they are strikingly confirmed by our experience on the 30 texts we analyzed extensively. We have experimented with different normalization methods for the score function, but they do not seem to improve the results. We plan to extend the empirical analysis in the future and to use formal learning methods to determine a good scoring function.

The average number of strong chains selected by this selection method was 5 for texts of 1055 words on average (474 words minimum, 3198 words maximum), when 32 chains were originally generated on average. The strongest chains of the sample text are presented in Appendix B.

#### Extracting Significant Sentences

Once strong chains have been selected, the next step of the summarization algorithm is to extract full sentences from the original text based on chain distribution.

We investigated three alternatives for this step:

**Heuristic 1** For each chain in the summary represen-

tation choose the sentence that contains the first appearance of a chain member in the text.

This heuristic produced the following summary for the text shown in Appendix:

When Microsoft Senior Vice President Steve Ballmer first heard his company was planning to make a huge investment in an Internet service offering movie reviews and local entertainment information in major cities across the nation, he went to Chairman Bill Gates with his concerns. Microsoft's competitive advantage, he responded, was its expertise in Bayesian networks. Bayesian networks are complex diagrams that organize the body of knowledge in any given area by mapping out cause — and — effect relationships among key variables and encoding them with numbers that represent the extent to which one variable is likely to affect another.

Programmed into computers, these systems can automatically generate optimal predictions or decisions even when key pieces of information are missing.

When Microsoft in 1993 hired Eric Horvitz, David Heckerman and Jack Breese, pioneers in the development of Bayesian systems, colleagues in the field were surprised.

The problem with this approach is that all words in a chain reflect the same concept, but to a different extent. For example, in the AI chain, (Appendix B, Chain 3) the token “*technology*” is related to the concept “*AI*”, but the word “*field*” is more suitable to represent the main topic “*AI*” in the context of the text. That is, not all chain members are good representatives of the topic (even though they all contribute to its meaning).

We therefore defined a criterion to evaluate the appropriateness of a chain member to represent its chain based on its frequency of occurrence in the chain. We found experimentally that such words, call them *representative* words, have a frequency in the chain no less than the average word frequency in the chain. For example, in the third chain the representative words are “*field*” and “*AI*”.

**Heuristic 2** We therefore defined a second heuristic based on the notion of representative words: For each chain in the summary representation, choose the sentence that contains the first appearance of a representative chain member in the text.

In this special case this heuristic gives the same result as the first one.

**Heuristic 3** Often, the same topic is discussed in a number of places in the text, so its chain is distributed across the whole text. Still, in some text unit, this global topic is the central topic (focus) of the segment. We try to identify this unit and extract sentences related to the topic from this segment (or successive segments) only.

We characterize this text unit as a cluster of successive segments with high density of chain members. Our third heuristic is based on this approach.

For each chain, find the text unit where the chain is highly concentrated. Extract the sentence with the first chain appearance in this central unit. Concentration is computed as the number of chain members occurrences in a segment divided by the number of nouns in the segment. A chain has high concentration if its concentration is the maximum of all chains. A cluster is a group of successive segments such that every segment contains chain members.

Note that in all these three techniques only one sentence is extracted for each chain (regardless of its strength).

For most texts we tested, the first and second techniques produce the same results, but when they are different, the output of the second technique is better. Generally, the second technique produces the best summary. We checked these methods on our 30 texts data set. Surprisingly, the third heuristic, which intuition predicts as the most sophisticated, gives the least indicative results. This may be due to several factors: our criteria for ‘centrality’ or ‘clustering’ may be insufficient or, more likely, the problem seems to be related to the interaction with text structure. The third heuristic tends to extract sentences from the middle of the text and to extract several sentences from distant places in the text for a single chain. The complete results of our experiments are available on-line at <http://www.cs.bgu.ac.il/research/projects/summarization-test/>.

## 4 Evaluation of the Method

### Description of the Experiment

Most evaluations of summarization systems use an intrinsic method (Edmunson 1969; Paice 1990; Kupiec, Pedersen, & Chen 1995; Marcu 1997; Salton *et al.* 1997; Ono, Sumita, & Miike 1994). The typical approach is to create an “ideal” summary, either written by professional abstractors or by merging summaries provided by multiple human subjects using methods such as majority opinion, union, or intersection. The output of the summarizers is then compared with the “ideal” summary. Precision and recall are used to measure the quality of the summary.

We designed a similar experiment to evaluate the summaries obtained by the lexical-chain based technique with an ideal summary constructed by human subjects. We also compared the summaries with those obtained by the Microsoft Summarizer available in Word97.

To study agreement among human subjects, 40 documents were selected; for each document, 10 summaries were constructed by 5 human subjects using sentence extraction. Each subject constructed 2 summaries of a

document: one at 10% length and the other at 20%<sup>1</sup>. For convenience, percent of length was computed in terms of number of sentences.

In addition to these 10 human-constructed summaries, we built 10% and 20% summaries using our system and Microsoft’s summarizer (embedded in Word). The documents were selected from the TREC collection (Harman 1994). They are news articles on computers, terrorism, hypnosis and nuclear treaties. The average length of the articles is 30 sentences. Human subjects are graduate students in the Department of Computer Science at Columbia University, Cornell University, and Beer-Sheva University in Israel.

### Agreement Among Human Subjects

We measured agreement among human subjects using *percent agreement*, a metric defined by (Gale, Church, & Yarowsky 1992) for the sense disambiguation task, but also used in other applications such as discourse segmentation (Passonneau & Litman 1993; Hearst 1994). Percent agreement is the ratio of observed agreements with the majority opinion to possible agreements with the majority opinion. For our experiments, agreement among 3 or more subjects is a majority opinion. The total possible agreements with the majority opinion is the number of human subjects times the number of sentences in a document. Observed agreement is equal to the number of times that a subject’s decision agrees with the majority opinion, including both the decision to extract the sentence and not to extract the sentence. The results are shown in Table 1.

Length	Avg. Agreement	Max	Min
10%	96%	100%	87%
20%	90%	100%	83%

Table 1: Agreement among 5 human subjects for 40 documents.

The percent agreement in our experiment is surprisingly high compared to results presented by other researchers. (Marcu 1997) found percent agreement of 13 judges over 5 texts from Scientific American is 71%. (Rath, Resnick, & Savage 1961) found that extracts selected by four different human judges had only 25% overlap. (Salton *et al.* 1997) found that the most important 20% paragraphs extracted by 2 subjects have only 46% overlap. The two most probable reasons for this high percent agreement are the style of the TREC articles and our restriction on uniform length.

### Statistical Significance

Using the same methodology as in (Passonneau & Litman 1993; Hearst 1994; Marcu 1997), we applied

<sup>1</sup>According to (Jing *et al.* 1998) ideal summary based evaluation is extremely sensitive to the required summary length. Therefore, we use an evaluation of 10% and 20% summaries in order to decrease the bias of the length factor.

	Microsoft		Lexical Chain	
	Prec	Recall	Prec	Recall
10%	33	37	61	67
20%	32	39	47	64

Table 2: Evaluation of summarization programs.

Cochran’s test to our data. For our application, Cochran’s test evaluates the null hypothesis that the total number of human subjects extracting the same sentence is randomly distributed. Our results show that the agreement among subjects is highly significant. That is, the probability that human subjects extract the same sentence is much higher than would be expected by chance. For all 40 documents, the probability is very low:  $p < 10^{-6}$ .

### Systems Comparison

The “ideal” summary was constructed by taking the majority opinion of five human summaries at the same length, the precision and recall were used as similarity measures. The results are shown in Table 2.

This data demonstrates a notable improvement above a commercially available summarizer both in precision and in recall. Results are significantly better for the 10%-length summaries than for the 20%. These results indicate the strong potential of lexical chains as a knowledge source for sentence extraction. As discussed in the introduction, we expect that combining lexical chains with additional knowledge sources will improve the precision and recall of the system.

More extensive evaluation results, both intrinsic and task-based are provided in (Jing *et al.* 1998) and (Barzilay 1997).

## 5 Limitations and Future Work

We have identified the following main problems with our method:

- Sentence granularity: all our methods extract whole sentences as single units. This has several drawbacks: long sentences have significantly higher likelihood to be selected, they also include many constituents which would not have been selected on their own merit. The alternative is extremely costly: it involves some parsing of the sentences, the extraction of only the central constituents from the source text and the regeneration of a summary text using text generation techniques.
- Extracted sentences contain anaphora links to the rest of the text. This has been investigated and observed by (Paice 1990). Several heuristics have been proposed in the literature to address this problem (Paice 1990), (Paice & Husk 1991). The strongest seems to be to include together with the extracted sentence the one immediately preceding it. Unfortunately, when we select the first sentence in a segment,

the preceding sentence does not belong to the paragraph and its insertion has a detrimental effect on the overall coherence of the summary. A preferable solution would be to replace anaphora with their referent, but again this is an extremely costly solution.

- Our method does not provide any way to control the length and level of detail of the summary. In all of the methods, we extract one sentence for each chain. The number of strong chains remains small (around 5 or 6 for the texts we have tested, regardless of their length), and the remaining chains would introduce too much noise to be of interest in adding details. The best solution seems to be to extract more material for the strongest chains.

The method presented in this paper is obviously partial in that it only considers lexical chains as a source representation, and ignores any other clues that could be gathered from the text. Still, we have achieved results of a quality superior to that of summarizers usually employed in commercial systems such as search systems on the World Wide Web on the texts we investigated.

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## A Bayesian Networks Text

When Microsoft Senior Vice President Steve Ballmer first heard his company was planning to make a huge investment in an Internet service offering movie reviews and local entertainment information in major cities across the nation, he went to Chairman Bill Gates with his concerns.

After all, Ballmer has billions of dollars of his own money in Microsoft stock, and entertainment isn't exactly the company's strong point.

But Gates dismissed such reservations. Microsoft's competitive advantage, he responded, was its expertise in Bayesian networks.

Asked recently when computers would finally begin to understand human speech, Gates began discussing the critical role of "Bayesian" systems.

Ask any other software executive about anything Bayesian and you're liable to get a blank stare.

Is Gates onto something? Is this alien-sounding technology Microsoft's new secret weapon?

Bayesian networks are complex diagrams that organize the body of knowledge in any given area by mapping out cause-and-effect relationships among key variables and encoding them with numbers that represent the extent to which one variable is likely to affect another.

Programmed into computers, these systems can automatically generate optimal predictions or decisions even when key pieces of information are missing.

When Microsoft in 1993 hired Eric Horvitz, David Heckerman and Jack Breese, pioneers in the development of Bayesian systems, colleagues in the field were surprised. The field was still an obscure, largely academic enterprise.

Today the field is still obscure. But scratch the surface of a range of new Microsoft products and you're likely to find Bayesian networks embedded in the software. And Bayesian nets are being built into models that are used to predict oil and stock prices, control the space shuttle and diagnose disease.

Artificial intelligence (AI) experts, who saw their field discredited in the early 1980s after promising a wave of "thinking" computers that they ultimately couldn't produce, believe widening acceptance of the Bayesian approach could herald a renaissance in the field.

Bayesian nets provide "an overarching graphical framework" that brings together diverse elements of AI and increases the range of its likely application to the real world, says Michael Jordan, professor of brain and cognitive science at the Massachusetts Institute of Technology.

Microsoft is unquestionably the most aggressive in exploiting the new approach. The company offers a free Web service that helps customers diagnose printing problems with their computers and recommends the quickest way to resolve them. Another Web service helps parents diagnose their children's health problems.

The latest version of Microsoft Office software uses the technology to offer a user help based on past experience, how the mouse is being moved and what task is being done.

"If his actions show he is distracted, he is likely to need help," Horvitz says. "If he's been working on a chart, chances are he needs help formatting the chart".

"Gates likes to talk about how computers are now deaf, dumb, blind and clueless. The Bayesian stuff helps deal with the clueless part," says Daniel T. Ling, director of Microsoft's research division and a former IBM scientist.

Horvitz and his two Microsoft colleagues, who were then classmates at Stanford University, began building Bayesian networks to help diagnose the condition of patients without turning to surgery.

The approach was efficient, says Horvitz, because you could combine historical data, which had been meticulously gathered, with the less precise but more intuitive knowledge of experts on how things work to get the optimal answer given the information available at a given time.

Horvitz, who with two colleagues founded Knowledge Industries to develop tools for developing Bayesian systems, says he and the others left the company to join Microsoft in part because they wanted to see their theoretical work more broadly applied.

Although the company did important work for the National Aeronautics and Space Administration and on medical diagnostics, Horvitz says, "It's not like your grandmother will use it".

Microsoft's activities in the field are now helping to build a groundswell of support for Bayesian ideas.

People look up to Microsoft," says Pearl, who wrote one of the key early texts on Bayesian networks in 1988 and has become an unofficial spokesman for the field. "They've given a boost to the whole area".

Microsoft is working on techniques that will enable the Bayesian networks to "learn" or update themselves automatically based on new knowledge, a task that is currently cumbersome.

The company is also working on using Bayesian techniques to improve upon popular AI approaches such as "data mining" and "collaborative filtering" that help draw out relevant pieces

of information from massive databases. The latter will be used by Microsoft in its new online entertainment service to help people identify the kind of restaurants or entertainment they are most likely to enjoy.

## B Bayesian Network Text: the Strongest Chains

The Criterion is 3.58, here are the five strong chains:

*CHAIN 1:* Score = 14.0

microsoft: 10 concern: 1 company: 6  
entertainment-service: 1 enterprise: 1  
massachusetts-institute: 1

*CHAIN 2:* Score = 9.0

bayesian-system: 2 system: 2 bayesian-net: 2  
network: 1 bayesian-network: 5 weapon: 1

*CHAIN 3:* Score = 7.0

ai: 2 artificial-intelligence: 1  
field: 7 technology: 1 science: 1

*CHAIN 4:* Score = 6.0

technique: 1 bayesian-technique: 1 condition: 1  
datum: 2 model: 1 information: 3 area: 1  
knowledge: 3

*CHAIN 5:* Score = 3.0

computer: 4

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